

#4
28 Mar 02
R. Talley

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Naoki KIMURA

Appln. No. Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 07, 2002

Examiner: Unknown

For: OPTICAL SEMICONDUCTOR MODULE EQUIPPED WITH A LIGHT MONITOR
FOR MONITORING SIGNAL LIGHT EMITTED FROM A LIGHT EMITTING
ELEMENT



INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the document which is listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Laid-Open Patent Publication No. 11-38279, published February 12, 1999.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

Naoki KIMURA
Q67362
INFORMATION DISCLOSURE STATEMENT

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that the above reference is discussed within the specification beginning at page 1, line 24.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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